



3005-1001-2  
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Box Missing Parts

Christopher Douglas BLAIR et al. Confirmation No. 8318

Serial No. 10/073,966

Attn: Appln. Processing Div.  
Special Processing and  
Correspondence Branch

Filed February 14, 2002

SIGNAL MONITORING APPARATUS

PETITION IN RESPONSE TO NOTICE OF OMITTED ITEMS IN A  
NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

January 7, 2003

Sir:

In response to the Notice to File Corrected Application Papers of Nonprovisional Application dated November 26, 2002, Applicants contend that all pages were filed, and withdrawal of the Notice of Omitted Items is respectfully requested.

Applicants submitted 25 pages of the specification, including pages 5, 6, & 9, on February 14, 2002, as is evidenced by the attached copy of the stamped post card receipt.

A Petition Fee in the amount of \$130.00 as required by 37 C.F.R. (h), is submitted herewith. It is respectfully requested the above-mentioned Petition Fee be refunded, as evidence has been provided of the filing of the requested pages on February 14, 2002.

Adjustment date: 03/17/2003 AKELLEY  
01/08/2003 SSESHE1 00000103 10073966  
01 FC:1051 -130.00 OP  
Refund Ref: 03/17/2003 AKELLEY 0000125200

CHECK Refund Total: \$130.00

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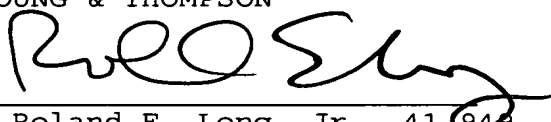
Atty. Docket No. 3005-1001-2

A copy of the specification as filed February 14, 2002 is also submitted herewith.

Respectfully submitted,

YOUNG & THOMPSON

By

  
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Enclosures:

Copy of Notice of Omitted Items  
Copy of stamped postcard receipt  
Copy of specification (25 pages)

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/073,966	02/14/2002	Christopher Douglas Blair	3005-1001-2

CONFIRMATION NO. 8318

## FORMALITIES LETTER



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01/08/2003 SSESHE1 00000103 10073966

01 FC:1051

130.00 OP

Date Mailed: 11/26/2002

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Page(s) 5, 6 & 9 of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(e)). To avoid processing delays and payment of a surcharge, applicant should submit any balance due for the requisite filing fee based on the later filing date being requested when submitting the omitted item(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the

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references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required. A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## SIGNAL MONITORING APPARATUS

### BACKGROUND OF THE INVENTION

5 The present invention relates to signal monitoring apparatus and in particular, but riot exclusively to telecommunications monitoring apparatus which may be arranged for monitoring a plurality of telephone conversations.

10

### DESCRIPTION OF THE RELATED ART

Telecommunications networks are increasingly being used for the access of information and for carrying out  
15 commercial and/or financial transactions. In order to safeguard such use of the networks, it has become appropriate to record the two-way telecommunications traffic, whether voice traffic or data traffic, that arises as such transactions are carried out. The recording of such  
20 traffic is intended particularly to safeguard against abusive and fraudulent use of the telecommunications network for such purposes.

More recently, so-called "call-centers" have been  
25 established at which operative personnel are established to deal with enquiries and transactions required of the commercial entity having established the call-center. An example of the increasing use of such call-centers is the increasing use of "telephone banking" services and the  
30 telephone ordering of retail goods.

Although the telecommunications traffic handled by such call-centers is monitored in an attempt to preserve the

integrity of the call-centre, the manner in which such communications networks, and their related call-centers, are monitored are disadvantageously limited having regard to the data/information that can be provided concerning the traffic  
5 arising in association with the call-center.

For example, in large call-centers, it is difficult for supervisors to establish with any confidence that they have accurately, and effectively, monitored the quality of all  
10 their staff's work so as to establish, for example, how well their staff are handling customers' enquiries and/or transaction requirements, or how well their staff are seeking to market/publicise a particular product etc.

#### 15 SUMMARY OF THE INVENTION

The present invention seeks to provide for telecommunications monitoring apparatus having advantages over known such apparatus.

20

According to one aspect of the present invention there is provided signal monitoring apparatus comprising:

means for monitoring signals representing  
25 communications traffic;

means for identifying at least one predetermined parameter by analysing the content of at least one monitored signal;

30

means for recording the occurrence of the identified parameter;

means for identifying the traffic stream associated with the identified parameter;

means for analysing the recorded data relating to the  
5. said occurrence; and

means, responsive to the analysis of the said recorded data, for controlling the handling of communications traffic within the apparatus.

10

Preferably, the means for controlling the handling of the communications traffic serves to identify at least one section of traffic relative to another.

15 Also, the means for controlling may serve to influence further monitoring actions within the apparatus.

Advantageously, the analysed contents of the at least one signal comprise the interaction between at least two  
20 signals of traffic representing an at least two-way conversation. In particular, the at least two interacting signals relate to portions of interruption or stiltedness within the traffic.

25 Preferably, the means for monitoring signals can include means for recording signals.

Preferably, the means for recording the occurrence of the parameter comprises means for providing, in real time, a  
30 possibly instantaneous indication of said occurrence, and/or comprises means for storing, permanently or otherwise, information relating to said occurrence.

Dependent upon the particular parameter, or parameters,  
35 relevant to a call-center provider, the present invention

advantageously allows for the improved monitoring of traffic so as to identify which one(s) of a possible plurality of data or voice interactions might warrant further investigation whilst also allowing for statistical trends to  
5 be recorded and analysed.

The apparatus is advantageously arranged for monitoring speech signals and indeed any form of telecommunication traffic.

10

For example, by analysing a range of parameters of the signals representing traffic such as speech, data or video, patterns, trends and anomalies within a plurality of interactions can be readily identified and these can then be  
15 used for example, to influence future automated analysis, and rank or grade the conversations and/or highlight conversations likely to be worthy of detailed investigation or playback by the call-center provider. The means for monitoring the telecommunications signals may be  
20 advantageously arranged to monitor a plurality of separate two-way voice, data or video conversations, and this makes the apparatus particularly advantageous for use within a call-centre.

25

The means for monitoring the telecommunications signals advantageously arranged to monitor the signals digitally by any one variety of appropriate means which typically involve the use of high impedance taps into the network and which have little, or no, effect on the actual network.

30

It should of course be appreciated that the invention can be arranged for monitoring telecommunications signals transmitted over any appropriate medium, for example a hard-wired network comprising twisted pair or co-axial lines or  
35 indeed a telecommunications medium employing radio waves.



- vibration or tremor within a voice; and
- the confidence/accuracy with which words are recognized by the receiving party to the conversation so as to advantageously identify changes in speech patterns arising from a caller.

Parameters such as the following, and having no direct relationship to each call's content, can also be monitored:

- 10     - date, time, duration and direction of call:
- externally generated "tagging" information for transferred calls or calls to particular customers;

As will be appreciated, the importance of each of the above parameters and the way in which they can be combined to highlight particular good, or bad, caller interactions can be readily defined by the call-center provider.

Advantageously, the apparatus can be arranged so as to afford each of the parameters concerned a particular weighting, or relative value.

The apparatus may of course also be arranged to identify the nature of the data monitored, for example whether speech, facsimile, modem or video etc. and the rate at which the signals are monitored can also be recorded and adjusted within the apparatus.

According to a further feature of the invention, the means for identifying the at least one parameter can be

arranged to operate in real time or, alternatively, the telecommunications signals can be recorded so as to be monitored by the means for identifying at least one parameter at some later stage.

5

Advantageously, the means for recording the actual occurrence of the identified parameter(s) can be arranged to identify an absolute value for such occurrences within the communications network and/or call-centre as a whole or, 10 alternatively, the aforementioned recording can be carried out on a per-conversation or a per-caller/operative basis.

The means for recording the occurrence of the identified parameter(s) can advantageously be associated 15 means for analysing the results of the information recorded so as to identify patterns, trends and anomalies within the telecommunications network and/or call-center.

Advantageously, the means for recording the occurrence 20 of the identified parameter(s) can, in association with the means for identifying the predetermined parameter and the means for monitoring the telecommunications signals, be arranged to record the aforementioned occurrence in each of the two directions of traffic separately.

25

— Preferably, the means for identifying the source of the two-way traffic includes means for receiving an identifier tagged on to the traffic so as to identify its source, i.e. the particular operative within the call-centre or the 30 actual caller. Alternatively, means can be provided within the telecommunications monitoring apparatus for determining

conversation is occurring so that the conversation can be interrupted or suspended as required.

As mentioned previously, the apparatus can be arranged  
5 to function in real time or, alternatively, the apparatus  
can include recording means arranged particularly to record  
the telecommunications traffic for later monitoring and  
analysis.

10 Preferably, the apparatus includes means for  
reconstructing the signals of the telecommunications traffic  
to their original form so as, for example, to replay the  
actual speech as it was delivered to the telecommunications  
network and/or call-center.

15 The apparatus can therefore advantageously recall the  
level of amplification, or attenuation, applied to the  
signal so as to allow for the subsequent analysis of the  
originating signal with its original amplitude envelope.

20 Further, the apparatus may include feedback means  
arranged to control the means for monitoring the  
telecommunications signals responsive to an output from  
means being provided to identify the source of the  
25 conversation in which the parameter has been identified, or  
the decision rule associated with the parameter has been  
exceeded.

A further embodiment of the present invention comprises  
30 an implementation in which means for recording and analysing  
the monitored signals are built into the actual system

providing the transmission of the original signals so that the invention can advantageously take the form of an add-in card to an Automatic Call Distribution System or any other telecommunications system.

5

Also, it will be appreciated that the present invention can be advantageously arranged so as to be incorporated into a call-centre and indeed the present invention can provide for such a call-centre including apparatus as defined above.

10

In accordance with another aspect of the present invention, there is provided a method of monitoring signals representing communications traffic, and comprising the steps of:

15

identifying at least one predetermined parameter associated with a monitored signal:

recording the occurrence of the identified parameter:

and

20

identifying the traffic stream in which the parameter was identified.

25

The invention is therefore particularly advantageous in allowing the monitoring of respective parts of an at least two-way conversation and which may include the of analysis of the interaction of those parts.

30

Of course, the method of the present invention can advantageously be arranged to operate in accordance with the further apparatus features defined above.

The invention is described further hereinafter, by way of example only, with reference to the accompanying drawings in which:

Fig. 1 is a block diagram of a typical recording and analysis system embodying the present invention; and

Fig. 2 is a diagram illustrating a typical data packetisation format employed within the present invention.

#### DESCRIPTION OF THE EMBODIMENT

As mentioned above, the apparatus can advantageously form part of a call-centre in which a plurality of telephone conversations can be monitored so as to provide the call-centre operator with information relating to the "quality" of the service provided by the call-centre operatives. Of course, the definition of "quality" will vary according to the requirements of the particular call-centre and, more importantly, the requirements of the customers to that call-centre but typical examples are how well the call-centre operatives handle customers telephone calls, or how well an Interactive Voice Response System serves customers calling for, for example, product details.

The system generally comprises apparatus for the passive monitoring of voice or data signals, algorithms for the analysis of the monitored signals and, apparatus for the storage and reporting of the results of the analysis.

Optional features can include apparatus for recording the actual monitored signals particularly if real time operation is not required, and means for reconstructing the monitored signals into their original form so as to allow for, for example, replay of the speech signal.

Fig. 1 is a block diagram of a recording and analysis system for use in association with a call-centre 10 which includes an exchange switch 14 from which four telephone terminals 12 extend: each of which is used by one of four  
5 call-centre operatives handling customer enquiries/transactions via the exchange switch 14.

The monitoring apparatus 16 embodying the present invention, comprises a digital voice recorder 18 which is  
10 arranged to monitor the two-way conversation traffic associated with the exchange switch 14 by way of high impedance taps 20, 22 which are connected respectively to signal lines 24, 26 associated with the exchange switch 14. As will be appreciated by the arrows employed for the signal  
15 lines 24, 26, the high impedance tap 20 is arranged to monitor outgoing voice signals from the call-centre 10 whereas the high impedance tap 22 is arranged to monitor incoming signals to the call-centre 10. The voice traffic on the lines 24, 26 therefore form a two-way conversation  
20 between a call-centre operative using one of the terminals 12 and a customer (not illustrated).

The monitoring apparatus 16 embodying the present invention further includes a computer telephone link 28  
25 whereby data traffic appearing at the exchange switch 14 can be monitored as required.

The digital voice recorder 18 is connected to a network connection 30 which can be in the form of a wide area  
30 network (WAN), a local area network (LAN) or an internal bus of a central processing unit of a computer.

Also connected to the network connection 30 is a replay station 32, a configuration management application station 34, a station 36 providing speech and/or data analysis engine(s) and also storage means comprising a first storage means 38 for the relevant analysis rules and the results obtained and a second storage means 40 for storage of the data and/or speech monitor.

Fig. 2 illustrates the typical format of a data packet 42 used in accordance with the present invention and which comprises a packet header 44 of typically 48 bytes and a packet header 46 of typically of 2000 bytes.

The packet header is formatted so as to include the packet identification 48, the data format 50, a date and time stamp 52, the relevant channel number within which the data arises 54, the gain applied to the signal 56 and the data length 58.

— The speech, or other data captured in accordance with the apparatus of the present invention, is found within the packet body 46 and within the format specified within the packet header 44.

25       The high impedance taps 20, 22 offer little or no effect on the transmission lines 24, 26 and, if not in digital form, the monitored signal is converted into digital form. For example, when the monitored signal comprises a speech signal, the signal is typically converted to a pulse  
30       code modulated (PCM) signal or is compressed as an Adaptive Differential PCM (ADPCM) signal.

Further, where signals are transmitted at a constant rate, the time of the start of the recordings is identified, for example by voltage or activity detection, i.e. so-called "vox" level detection, and the time is recorded. With  
5 asynchronous data signals, the start time of a data burst, and optionally the intervals between characters, may be recorded in addition to the data characters themselves.

The purpose of this is to allow a computer system to  
10 model the original signal to appropriate values of time, frequency and amplitude so as to allow the subsequent identification of one or more of the various parameters arising in association with the signal. The digital information describing the original signals is then analysed  
15 at station 36, in real time or later, to determine the required set of metrics, i.e. parameters, appropriate to the particular application.

A particular feature of the system is in recording the  
20 two directions of data transmission separately so allowing further analysis of information sent in each direction independently. In analogue telephone systems, this may be achieved by use of a four-wire (as opposed to two-wire) circuit whilst in digital systems, it is the norm to have  
25 the two directions of transmission separated onto separate wire pairs. In the data world, the source of each data packet is typically stored alongside the contents of the data packet.

30 A further feature of the system is in recording the level of amplification or attenuation applied to the



original signal. This may vary during the monitoring of even a single interaction (e.g. through the use of Automatic Gain Control Circuitry). This allows the subsequent reconstruction and analysis of the original signal amplitude.

Another feature of the system is that monitored data may be "tagged" with additional information such as customer account numbers by an external system (e.g. the delivery of additional call information via a call logging port or computer telephony integration (CTI) port).

The importance of each of the parameters and the way in which they can be combined to highlight particularly good or bad interactions is defined by the user of the system. One or more such analysis profiles can be held in the system. These profiles determine the weighting given to each of the above parameters.

The profiles are normally used to rank a large number of monitored conversations and to identify trends, extremes, anomalies and norms. "Drill-down" techniques are used to permit the user to examine the individual call parameters that result in an aggregate or average score and, further, allow the user to select individual conversations to be replayed to confirm or reject the hypothesis presented by the automated analysis.

A particular variant that can be employed in any embodiment of the present invention uses feedback from the user's own scoring of the replayed calls to modify its own

analysis algorithms. This may be achieved using neural network techniques or similar giving a system that learns from the user's own view of the quality of recordings.

5 A variant of the system uses its own and/or the scoring/ranking information to determine its further patterns of operation i.e.

- . determining which recorded calls to retain for future analysis,
- 10 . determining which agents/lines to monitor and how often, and
- . determining which of the monitored signals to analyse and to what depth.

15 In many systems it is impractical to analyse all attributes of all calls hence a sampling algorithm may be defined to determine which calls will be analysed. Further, one or more of the parties can be identified (e.g. by calling-line identifier for the external party or by agent  
20 log-on identifiers for the internal party). This allows analysis of the call parameters over a number of calls handled by the same agent or coming from the same customer.

The system can use spare capacity on the digital signal  
25 processors (DSPs) that control the monitoring, compression or recording of the monitored signals to provide some or all of the analysis required. This allows analysis to proceed more rapidly during those periods when fewer calls are being monitored.

30

Spare CPU capacity on a PC at an agent's desk could be

used to analyse the speech. This would comprise a secondary tap into the speech path being recorded as well as using "free" CPU cycles. Such an arrangement advantageously allows for the separation of the two parties, e.g. by 5 tapping the headset/handset connection at the desk. This allows parameters relating to each party to be stored even if the main recording point can only see a mixed signal.

A further variant of the system is an implementation in 10 which the systems recording and analysing the monitored signals are built into the system providing the transmission of the original signals (e.g. as an add-in card to an Automatic Call Distribution (ACD) system).

15 The apparatus illustrated is particularly useful for identifying the following parameters:

- degree of interruption (i.e. overlap between agent talking and customer talking);
- 20 comments made during music or on-hold periods;
- delays experienced by customers (i.e. the period from the end of their speech to an agent's response);
- caller/agent talk ratios, i.e. which agents might 25 be talking too much.

However, it should be appreciated that the invention could be adapted to identify parameters such as:

- 30 "relaxed/stressed" profile of a caller or agent (i.e. by determining changes in volume,

speed and tone of speech)

frequency of keywords heard (separately from agents and from callers) e.g. are agents remembering to ask follow-up questions about a certain product/ service etc; or how often do customers swear at each agent? Or how often do agents swear at customers?

frequency of repeat calls. A combination of line, ID and caller ID can be provided to eliminate different people calling from single

switchboard/business number

languages used by callers?

abnormal speech patterns of agents. For example if the speech recognition applied to an agent is consistently and unusually inaccurate for, say, half an hour, the agent should be checked for: drug abuse, excessive tiredness, drunkenness, stress, rush to get away etc.

It will be appreciated that the illustrated and indeed any embodiments of the present invention can be set up as follows.

The Digital Trunk Lines (e.g. T1/E1) can be monitored trunk side and the recorded speech tagged with the direction of speech. A MediaStar Voice Recorder chassis can be provided typically with one or two E1/T1 cards plus a number of DSP cards for the more intense speech processing requirements.

Much of its work can be done overnight and in time,

some could be done by the DSPs in the MediaStar's own cards. It is also necessary to remove or at least recognise, periods of music, on-hold periods, IVR rather than real agents speaking etc. thus, bundling with Computer Integrated  
5 Telephony Services such as Telephony Services API (TSAPI) in many cases is appropriate.

Analysis and parameter identification as described above can then be conducted. However, as noted, if it is  
10 not possible to analyse all speech initially, analysis of a recorded signal can be conducted.

In any case the monitoring apparatus may be arranged to only search initially for a few keywords although re-play  
15 can be conducted so as to look for other keywords.

It should be appreciated that the invention is not restricted to the details of the foregoing embodiment. For example, any appropriate form of telecommunications network,  
20 or signal transmission media, can be monitored by apparatus according to this invention and the particular parameters identified can be selected, and varied, as required.

1           1. A signal monitoring system for monitoring and  
2 analyzing communications passing through a monitoring point,  
3 the system comprising:

4           a digital voice recorder (18) for monitoring two-  
5 way conversation traffic streams passing through the  
6 monitoring point, said digital voice recorder having  
7 connections (20) for being operatively attached to the  
8 monitoring point;

9           a digital processor (30) connected to said digital  
10 voice recorder for identifying at least one predetermined  
11 parameter by analyzing the voice communication content of at  
12 least one monitored signal taken from the traffic streams;

13           a recorder (38) attached to said digital processor  
14 for recording occurrences of the predetermined parameter;

15           a traffic stream identifier (36) for identifying  
16 the traffic stream associated with the predetermined parame-  
17 ter;

18           a data analyzer (36) connected to said digital  
19 processor for analyzing the recorded data relating to the  
20 occurrences; and

21           a communication traffic controller (34) operatively  
22 connected to said data analyzer and, operating responsive to  
23 the analysis of the recorded data, for controlling the  
24 handling of communications traffic within said monitoring  
25 system.--

1           2. The monitoring system of claim 1, wherein said  
2 at least one predetermined parameter includes a frequency of  
3 keywords identified in the voice communication content of the  
4 at least one monitored signal.--

1           3. The monitoring system of claim 1, wherein said  
2 digital processor further identifies episodes of anger or  
3 shouting by analyzing amplitude envelope.

1           4. The monitoring system of claim 1, wherein said  
2 at least one predetermined parameter is a prosody of the  
3 voice communication content of the at least one monitored  
4 signal.

1           5. The monitoring system of claim 1, wherein said  
2 connections for being operatively attached to the telephony  
3 exchange switch are attached via high impedance taps (20) to  
4 telephone signal lines (24, 26) attached to said telephony  
5 exchange switch.

1           6. The monitoring system of claim 1, wherein said  
2 communication traffic controller serves to identify at least  
3 one section of traffic relative to another so as to identify  
4 a source of the predetermined parameter.

1           7. The monitoring system of claim 1, wherein said  
2 communication traffic controller serves to influence further  
3 monitoring actions within the apparatus.

1           8. The monitoring system of claim 1, wherein the  
2 analyzed contents of the at least one monitored signal  
3 comprise the interaction between at least two signals  
4 representing an at least two-way conversation.

1           9. The monitoring system of claim 1, wherein the  
2 recorder operates in real time to provide a real-time  
3 indication of the occurrence.

1           10. The monitoring system of claim 1, wherein said  
2 digital voice recorder comprises an analog/digital convertor  
3 (18) for converting analog voice into a digital signal.

1           11. The monitoring system of claim 1, wherein said  
2 digital processor is a Digital Signal Processor (30) arranged  
3 to operate in accordance with an analyzing algorithm.

1           12. The monitoring system of claim 1, wherein the  
2 digital processor is arranged to operate in real time.

1           13. The monitoring system of claim 1, further  
2 comprising a replay station (32) connected to said digital  
3 processor and arranged such that the voice communication  
4 content of the at least one monitored signal can be recorded  
5 and monitored by said digital processor for identifying the  
6 at least one parameter at some later time.

1           14. The monitoring system of claim 1, wherein the  
2 at least one predetermined parameter comprises plural  
3 predetermined parameters and wherein said recorder records  
4 the occurrence of the plural predetermined parameters in each  
5 of the two directions of traffic separately.



1           15. The monitoring system of claim 1, wherein said  
2 traffic stream identifier comprises a means for receiving an  
3 identifier tagged onto the traffic so as to identify its  
4 source.

1           16. The monitoring system of claim 1, wherein said  
2 digital voice recorder for monitoring the traffic streams is  
3 operative responsive to an output from said traffic stream  
4 identifier identifying the source of the conversation in  
5 which the predetermined parameter has been identified, or a  
6 threshold occurrence of the predetermined parameter has been  
7 exceeded.

1           17. The monitoring system of claim 1, wherein said  
2 digital voice recorder, said digital processor, said  
3 recorder, said traffic stream identifier, and said data  
4 analyzer reside on an add-in card to a telecommunications  
5 system.

## ABSTRACT OF THE DISCLOSURE

A signal monitoring apparatus and method involving devices for monitoring signals representing communications traffic, devices for identifying at least one predetermined parameter by analyzing the context of the at least one monitoring signal, a device for recording the occurrence of the identified parameter, a device for identifying the traffic stream associated with the identified parameter, a device for analyzing the recorded data relating to the occurrence, and a device, responsive to the analysis of the recorded data, for controlling the handling of communications traffic within the apparatus.



ATTACHED IS A DIVISIONAL APPLICATION

ocket No. 3005-1001-2

INVENTOR(S): Christopher Douglas BLAIR and Roger Louis KEENAN

TITLE: SIGNAL MONITORING SYSTEM

FILING DATE: February 14, 2002

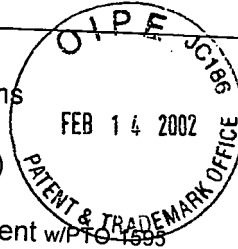
CONSISTING OF:

- |                                     |                                   |                                 |                                     |                             |
|-------------------------------------|-----------------------------------|---------------------------------|-------------------------------------|-----------------------------|
| <input checked="" type="checkbox"/> | Transmittal letter 25             | Pages specification w/17 claims | <input checked="" type="checkbox"/> | Abstract                    |
| 2                                   | Sheets Of Formal Drawings         |                                 | <input type="checkbox"/>            | Priority doc. (1)           |
| <input checked="" type="checkbox"/> | Application Data Sheet            |                                 | <input type="checkbox"/>            | Exec. Assignment w/PTO-1695 |
| <input checked="" type="checkbox"/> | Pre. Amendment w/markd up version |                                 | <input type="checkbox"/>            | Search Report               |
| <input checked="" type="checkbox"/> | Executed declaration (1)          |                                 | <input checked="" type="checkbox"/> | Check # 22187 for \$ 370.00 |
| <input checked="" type="checkbox"/> | Info. Disclosure Statement w/1449 |                                 |                                     |                             |
| <input type="checkbox"/>            | Cited References                  |                                 |                                     |                             |

OTHER:

USPTO - PLEASE STAMP RECEIPT DATE AND APPLICATION NUMBER

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